| FORM PTO-1390 U.S. DEP. LENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEYS DOCKET NUMBER | | | | | | | | | | | | | |
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| | 12-2004) RA ľ | , NSMITTAL LETTER T(| A-71606-1/MSS (463035-870) | | | | | | | | | | |
| | | ESIGNATED/ELECTED | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | | | | | | | | | |
| CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | | | | | | |
| INTE | RNAT | PRIORITY DATE CLAIMED | | | | | | | | | | | |
| PCT/US2003/199828 June 23, 2003 June 23, 2002 TITLE OF INVENTION | | | | | | | | | | | | | |
| METHOD FOR ENERGY-ASSISTED ATOMIC LAYER DEPOSITION AND REMOVAL | | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | | | |
| Aubrey L. Helms, Jr.; Kerem Kapkin; Sang-In Lee; Yoshihide Senzaki | | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. | | This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. | П | The US has been elected (Article 31). | | | | | | | | | | | |
| 5. | П | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | | | |
| ٥. | <u> </u> | is transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | | | | | |
| | b. | has been transmitted by the | International Bureau. | | | | | | | | | | |
| | c. | in the second and the second institution of the Heister Court Providing Office (PO/HIC) | | | | | | | | | | | |
| 6. | | An English' translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| 7. | b. Г | • | ted under 35 U.S.C. 154(d)(4). | -1- 10 (25 H C C 271(-)(2)) | | | | | | | | | |
| <i>'</i> . | a. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | | | |
| | a. b. | are attached hereto (required only if not transmitted by the International Bureau). had been communicated by the International Bureau. | | | | | | | | | | | |
| | _ | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | |
| | c. d. | have not been made and will not be made. | | | | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | | | |
| 9. | \boxtimes | An oath or declaration (signed, in counterparts) of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | | | |
| Item | s 11 to | 20. below concern document(s) of | or information included: | | | | | | | | | | |
| 11. | | An Information Disclosure Staten | nent under 37 CFR 1.97 and 1.98. | | | | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included | | | | | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | | | | | |
| 14. | \Box | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. | \Box | A substitute specification. | | | | | | | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 35 U.S.C. 1.821 – 1.825 | | | | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4) | | | | | | | | | | | |
| 19. | | | | | | | | | | | | | |
| | | _ | duage translation of the international appli | ication under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. | Retu | Other items or information: | | | | | | | | | | | |

| U.S. APPLICATIO |) | INTERNATIONAL APPLICATION NO. | | | ATTORNEY'S DOCKET NUMBER | | | | |
|---|--|---------------------------------------|--|---|----------------------------|---------------------|------------------------|--|--|
| | | PCT/US2003/019982 | | | A-71606-1/MSS (463035-870) | | | | |
| 21. The follow | ving fees are submit | ted: | | | | | | • | |
| _ ′ | national fee | | | | | \$300.00 | | | |
| _ ′ | ination fee | | | | | \$200.00 | | | |
| ☐ c) Search | 1 Fee | | | | | \$500.00 | | | |
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| Applicant of 1/2. | claims small entity | status. See 37 (| CFR 1.27 | 7. The fees indicated a | | | \$ | 1 Military of | |
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| | f \$130.00 for furnish date (37 CFR 1.492 | | translatio | on later than 30 mont | hs fror | m the earliest | \$ | | |
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| | | | | G: Information on this information and author | | | | rmation should | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| SEND ALL CO | ORRESPONDENC | CE TO: | CU | STOMER NUMB | ER | 32940 | | | |
| Maria S. Swiatek, Esq. Dorsey & Whitney LLP Intellectual Property Department Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187 Telephone: (650) 494-8700 Facsimile: (650) 494-8771 Signature Name: Maria S. Swiatek Date: April Registration Number: 37,244 | | | | | | | April 14, 2005 | Section 1 | |